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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Huang, Shi Kun; Zalipsky, Samuel; Zhang, Wei-Ming; Jin, Bei; and Quinn, Yolanda P.

Application No.: 09/685,940

Group No.: 1615

Filed: October 10, 2000

Examiner: Unknown

For: Neutral-Cationic Lipid for Nucleic Acid and Drug Delivery

Assistant Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
BEFORE MAILING DATE OF EITHER A FINAL ACTION
OR NOTICE OF ALLOWANCE (37 C.F.R. section 1.97(c))

TIME OF TRANSMITTAL OF ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT

1. The information disclosure statement transmitted herewith is being filed *after* three months of the filing date of this national application or the date of entry of the national stage as set forth in Section 1.491 in an international application or after the mailing date of the first Office action on the merits, whichever event occurred last but *before* the mailing date of either

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. section 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being:

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- (1) a final action under section 1.113 or
- (2) a notice of allowance under section 1.311

whichever occurs first.

STATEMENT

2. Accompanying this transmittal is a statement as specified in 37 C.F.R. section 1.97(e).



A handwritten signature in black ink, appearing to read "Paul B. Simboli".

Paul B. Simboli 13 July 2001
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